For Internal Use Only OMB Approval 1 Submit 1 Original Sec File No. 91 and 9 Copies Expires: Estimated average burden hours per response: 2.00 UNITED STATES JAN 25 2018 SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Washington DC FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Initial Listing Report . Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE American, LLC (trading pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open-end Management Investment Company 3. Class of New Derivative Securities Product: Investment Company Units 18001899 4. Name of Underlying Instrument: Thomson Reuters StarMine Affinity World Leaders Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-Based 6. Ticker Symbol(s) of New Derivative Securities Product: WLDR 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: NYSE, NYSE American, NASDAQ, NYSE, NASDAQ, SIX Swiss, London, Xetra, Euronext Paris, Tokyo, OMX Nordic Stockholm, Hong Kong, Euronext Amsterdam, Madrid, Milan, OMX Nordic Copenhagen, Toronto, Oslo, Vienna, ASX, Euronext Brussels, OMX Nordic Helsinki, Singapore 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+2/Book entry only held in DTC. P. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Martha Redding Γitle: Associate General Counsel and Assistant Secretary Telephone Number:

212) 656-2938

January 24, 2018

Manual Signature of Official Responsible for Form:

Securities Exchange Act of 1934

19b-4

Section

Availability:

Rule Public